



FLS AUDIT & RISK COMMITTEE MEETING (ARC)
9:30am – 12:00pm, 14 Dec 2022
Silvan House (Project Room, 4th Floor) and MS Teams

AGENDA

Agenda Items:	Lead:	Paper:	Timings:
1. Welcome & Introductions <ul style="list-style-type: none">• ARC Overview• Declarations of Conflicts of Interest	MP	Verbal	9:30 – 9:45
2. Annual Chair Report	MP	ARC 12/22	9:45 – 9:55
3. Minutes and matters arising: 28 September 2022 <ul style="list-style-type: none">• Action Points	MP	Verbal	9:55 – 10:05
4. External Audit - Introduction	JB	Verbal	10:05 – 10:15
5. Break			10:15 – 10:20
6. Risk <ul style="list-style-type: none">a) Risk Appetite, Risk Register overview & updateb) ‘Deep Dive’: Cyber Security (FLS 20)c) New/emerging business and potential risksd) Cumulative Risk Discussion	MH/RS GH/DE SH SH	ARC 13/22 ARC 14/22 Verbal Verbal	10:20 - 10:40 10:40 – 11:00 11:00 – 11:10 11:10 – 11:30
7. Break			11:30 – 11:35
8. Audit <ul style="list-style-type: none">a) Internal Audit - Progress reportb) Audit Recommendations & Actions – Progress Report	KM RS	ARC 15/22 ARC 16/22	11:35 – 11:45 11:45 – 11:50
9. AOB and Date of Next Meeting (DONM)	MP	Verbal	11:50 – 11:55
10. Close			11:55
11. Meeting Between NXAs and Internal Audit		Verbal	11:55 – 12:00

Attendees: Mark Pountain (MP), Chair, Non-Exec
Lisa Tennant (LT), Non-Exec
Jo O'Hara (JO'H), Non-Exec
Clea Warner (CW), Non-Exec
Therese O'Donnell (TO'D), Non-Exec
Simon Hodgson (SH), Chief Executive FLS
Michael Hymers (MH), Director of Corporate Services FLS
Tom Greenan (TG), Head of Finance FLS
Jennie Smith (JS), Deputy Head of Finance FLS
Rhondda Salmond (RS), Change Manager FLS
Joanne Brown, Grant Thornton (External Audit)
Kate Moffat (KM), Head of Internal Audit, SGIAD
Amy Grant (AG), Internal Audit, SGIAD
Celyn Paton, Admin Officer FLS (Minutes)

Others: Graeme Hutton (GH), Director of Net Zero FLS (Item 5e)
Dave Exeter (DE), Head of Digital Services FLS (Item 5e)

Apologies: Donna Mortimer, Head of Finance and Procurement FLS